

# NORMAL GENERATION OF UNITARY GROUPS OF CUNTZ ALGEBRAS BY INVOLUTIONS

A. AL-RAWASHDEH

**ABSTRACT.** In purely infinite factors, P. de la Harpe proved that a normal subgroup of the unitary group which contains a non-trivial self-adjoint unitary contains all self-adjoint unitaries of the factor. Also he proved the same result in finite continuous factors. In a previous work the author proved a similar result in some types of unital AF-algebras. In this paper we extend the result of de la Harpe, concerning the purely infinite factors to a main example of purely infinite  $C^*$ -algebras called the Cuntz algebras  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ) and we prove that  $\mathcal{U}(\mathcal{O}_n)$  is normally generated by some non-trivial involution. In particular, in the Cuntz algebra  $\mathcal{O}_\infty$  we prove that  $\mathcal{U}(\mathcal{O}_\infty)$  is normally generated by self-adjoint unitary of odd type.

## 1. INTRODUCTION

Let  $\mathcal{A}$  be any unital  $C^*$ -algebra. The group of unitaries and the set of projections of  $\mathcal{A}$  are denoted by  $\mathcal{U}(\mathcal{A})$ ,  $\mathcal{P}(\mathcal{A})$  respectively. The involutions of  $\mathcal{A}$  are the set of self-adjoint unitaries ( $*$ -symmetries). In several types of  $C^*$ -algebras, we have that the involutions generate all the unitaries. In the case of von Neumann factors, M. Broise in [3]; proved the following main theorem.

**Theorem 1.1.** [3, Theorem 1] *If  $\mathcal{B}$  is a factor of type  $II_1$  or  $III$ , then the set of involutions generates  $\mathcal{U}(\mathcal{B})$ .*

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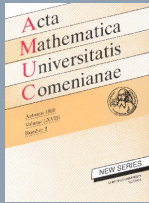


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Also, in the case of simple, purely infinite  $C^*$ -algebras, M. Leen proved the following result.

**Theorem 1.2.** [10, Theorem 3.8] *If  $A$  is a simple, unital purely infinite  $C^*$ -algebra, then the set of  $*$ -symmetries of  $A$  forms a set of generators for  $\mathcal{U}_0(A)$ , (where  $\mathcal{U}_0(A)$  denotes the identity component of the unitary group of  $A$ ).*

The Cuntz algebras are interesting examples of simple, unital purely infinite  $C^*$ -algebras, which was introduced by Cuntz in [5] this  $C^*$ -algebra is generated by isometries that have orthogonal ranges (for more information see [6, V. 4]). As shown in [5] the unitary group of the Cuntz algebras are connected. Now let us recall these definitions.

**Definition 1.3.** The Cuntz algebra  $\mathcal{O}_n$ , where  $2 \leq n$ , is the universal  $C^*$ -algebra which is generated by isometries  $s_1, s_2, \dots, s_n$ , such that

$$(1) \quad \sum_{i=1}^n s_i s_i^* = 1$$

with  $s_i^* s_j = 0$ , when  $i \neq j$ . The Cuntz algebra  $\mathcal{O}_\infty$  is generated by infinite number of such isometries.

**Remark 1.4.** [6, V. 4] Recall that a universal  $C^*$ -algebra  $\mathcal{O}_n$  means, whenever  $t_1, t_2, \dots, t_n$  form another set of isometries satisfying (1), then there is a unique  $*$ -homomorphism  $\rho$  of  $\mathcal{O}_n$  onto  $C^*(\{t_1, t_2, \dots, t_n\})$  such that  $\rho(s_i) = t_i$ , for all  $1 \leq i \leq n$ .

In this paper, the projection  $s_i s_i^*$  is denoted by  $p_i$ , and these projections are called the standard projections of the Cuntz algebras. The corresponding involution  $1 - 2p_i$  is denoted by  $u_i$ .

Let us recall the following main results concerning the Cuntz algebras.

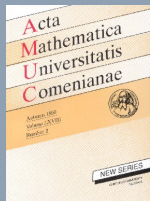


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**Theorem 1.5.** [5] *The Cuntz algebras  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ) are simple unital purely infinite  $C^*$ -algebras.*

Using the fact that  $K_1(\mathcal{O}_n) \cong 0$  (see [4, 3.8]) and  $K_1(A) \simeq \mathcal{U}(A)/\mathcal{U}(A)_0$  (see [4, p. 188], M. Leen's result (Theorem 1.2) shows that the set of  $*$ -symmetries of  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ) generates the unitary group  $\mathcal{U}(\mathcal{O}_n)$ .

**Definition 1.6.** A group  $G$  is normally generated by an element  $x$  if the only normal subgroup of  $G$  containing  $x$  is  $G$  itself.

If  $u = 1 - 2p$  is an involution in a factor  $\mathcal{B}$ , then P. de la Harpe defined the notion of the type of  $u$  to be the pair  $(x, y)$ , where  $x = D(1 - p)$  and  $y = D(p)$ , as  $D$  denotes a normalized dimension function on  $\mathcal{B}$ , see [7]. He proved that any normal subgroup  $\mathcal{N}$  of  $\mathcal{U}(\mathcal{B})$ , which is not contained in the circle  $\mathbb{S}^1$ , contains a non-trivial involution, and then contains all the involutions of  $\mathcal{B}$  (see [8, Proposition 2]). Afterwards, P. de la Harpe used Broise's result (Theorem 1.1), and he proved the following theorem.

**Theorem 1.7.** [8] *If  $\mathcal{B}$  is a factor of type  $II_1$  or  $III$  and  $\mathcal{N}$  is any normal subgroup of  $\mathcal{U}(\mathcal{B})$ , which is not contained in the circle  $\mathbb{S}^1$ , then  $\mathcal{N} = \mathcal{U}(\mathcal{B})$ .*

If  $v$  is an involution of  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ), then as introduced in [1], we define the type of  $v$  to be the element  $[p]$  in  $K_0(\mathcal{O}_n)$ , where  $v = 1 - 2p$ . Since the  $K_0(\mathcal{O}_n)$  is a cyclic group, the type of  $v$  is an integer. In Section 2, we show that a normal subgroup  $\mathcal{N}$  of  $\mathcal{U}(\mathcal{O}_n)$ ,  $n < \infty$  contains all the involutions if

1.  $\mathcal{N}$  contains an involution of the type 1 (i.e. [1]), or
2.  $\mathcal{N}$  contains a non-trivial involution and  $n - 1$  is a prime number, or
3.  $\mathcal{N}$  contains a non-trivial involution such that its type and  $n - 1$  are relatively prime. Then using M. Leen's result in Theorem 1.2, we prove that  $\mathcal{U}(\mathcal{O}_n)$  is normally generated by a non-trivial involution.

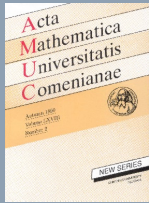


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In Section 3, we show that if  $\mathcal{N}$  contains an involution of odd type, then  $\mathcal{N}$  contains all the involutions of  $\mathcal{O}_\infty$ . Consequently, we use M. Leen's result in order to prove that  $\mathcal{U}(\mathcal{O}_n)$  is normally generated by an involution of odd type.

Now, let us recall main results concerning purely infinite  $C^*$ -algebras, that might be used throughout this paper.

**Proposition 1.8.** [4, 1.5] *In any  $C^*$ -algebra  $A$ , the following hold:*

- (i) *If  $p, q$  are infinite projections and  $pq = 0$ , then  $p + q$  is an infinite projection.*
- (ii) *If  $p$  is an infinite projection, and  $p' \sim p$ , then  $p'$  is an infinite projection.*
- (iii) *If  $p$  and  $q$  are infinite projections, then there exists an infinite projection  $p'$  such that  $p \sim p'$  and  $p' < q$ , moreover  $q - p'$  is an infinite projection.*

**Theorem 1.9.** [2, 6.11.9] *Two infinite projections in a simple unital  $C^*$ -algebra are equivalent if and only if they have the same  $K_0$ -class. Two non-trivial projections with the same  $K_0$ -class in a purely infinite  $C^*$ -algebra are unitarily equivalent.*

## 2. THE $\mathcal{O}_n$ ( $2 \leq n < \infty$ ) CASE

We prove the following result which is valid for the Cuntz algebras  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ). The proof is similar to [1, Lemma 2.2], in the case of the UHF-algebras. For completeness we have.

**Lemma 2.1.** *Let  $u$  and  $v$  be two involutions of  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ). Then  $u$  is conjugate to  $v$  if and only if they have the same type.*

*Proof.* If  $u$  and  $v$  are conjugate involutions of  $\mathcal{O}_n$  ( $2 \leq n \leq \infty$ ), then as in [1, Lemma 2.2], there exists a unitary  $w$  in  $\mathcal{U}(\mathcal{O}_n)$  such that  $u = v w w^*$ . But  $u = 1 - 2e$  and  $v = 1 - 2f$  for some projections  $e, f$  in  $A$ , so  $u = w(1 - 2f)w^* = 1 - 2w f w^*$ , therefore  $e = w f w^*$  and by Theorem 1.9,  $[e] = [f]$ .

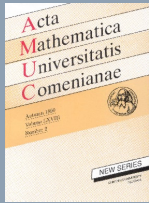


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Conversely, assume that the involutions  $u$  and  $v$  have the same type. Then  $u = 1 - 2p$  and  $v = 1 - 2q$ , for some  $p, q \in \mathcal{P}(\mathcal{O}_n)$  with  $[p] = [q]$  in  $K_0(\mathcal{O}_n)$  group. Then by Theorem 1.9, the projections  $p$  and  $q$  are unitarily equivalent, and therefore  $u = vvv^*$  for some  $w \in \mathcal{U}(\mathcal{O}_n)$ .  $\square$

**Proposition 2.2.** *In  $\mathcal{O}_n(2 \leq n \leq \infty)$ , the involution  $u_i(i = 1, \dots, n)$  has type 1.*

*Proof.* As  $u_i = 1 - 2p_i$ , the type of  $u_i$  is  $[p_i]$ . By definition  $p_i = s_i s_i^*$  and  $s_i^* s_i = 1$ ; therefore by Theorem 1.9, we have  $[p_i] = [1]$ .  $\square$

The following result is based on [4, 3.7, 3.8]; that is  $K_0(\mathcal{O}_n) \simeq \mathbb{Z}_{n-1}$ .

**Proposition 2.3.** *If  $0 \leq k \leq n - 2$ ;  $n < \infty$ , then there exists an involution in  $\mathcal{O}_n$  of type  $k$  (in fact, of type  $k[1]$ ).*

*Proof.* Let  $p_1, p_2, \dots, p_n$  be the standard projections of  $\mathcal{O}_n$ , and  $v_k = 1 - 2(p_1 + p_2 + \dots + p_k)$ ; for  $0 \leq k \leq n - 2$ . Then  $v_k$  is an involution in  $\mathcal{O}_n$  of type equal to  $k$ .  $\square$

**Lemma 2.4.** *If  $\mathcal{N}$  is a normal subgroup of  $\mathcal{U}(\mathcal{O}_n)(n < \infty)$ , which contains an involution of the type  $1([1])$ , then  $\mathcal{N}$  contains an involution of any given type.*

*Proof.* As  $\mathcal{N}$  is a normal subgroup of  $\mathcal{U}(\mathcal{O}_n)$ , and it contains an involution of the type 1, then by Lemma 2.1,  $\mathcal{N}$  contains  $u_i(i = 1, \dots, n)$ . Then  $u_1 u_2 = (1 - 2p_1)(1 - 2p_2) = 1 - 2(p_1 + p_2)$ , which is an involution of type 2, contained in  $\mathcal{N}$ . Also  $u_1 u_2 u_3$  is an involution in  $\mathcal{N}$  of type 3. Keep going we have  $u_1 u_2 \dots u_k = 1 - 2(p_1 + p_2 + \dots + p_k)$  is an involution in  $\mathcal{N}$  of type  $k(1 \leq k \leq n - 2)$ , hence  $\mathcal{N}$  contains an involution of any given type, which proves the required.  $\square$

**Lemma 2.5.** *Let  $\mathcal{N}$  be a normal subgroup of  $\mathcal{U}(\mathcal{O}_n)$ , and suppose that  $n - 1$  is a prime number. If  $\mathcal{N}$  contains a non-trivial involution of  $\mathcal{O}_n$ , then  $\mathcal{N}$  contains the involution  $u_1$ .*



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*Proof.* Suppose that  $v \in \mathcal{N}$  such that  $v = 1 - 2p$  and  $v$  is of type  $k$ , i.e.  $[p] = k$ . If  $k = n - 1$ , then  $[p] = 0 \in K_0(\mathcal{O}_n)$ , by Proposition 1.8(ii) we must have  $p = 0$  and then  $v = 1$  which gives a contradiction as  $v$  is non-trivial. Therefore we consider  $1 \leq k \leq n - 2$ . We may assume that  $p < 1$ , since if  $p = 1$ , then  $v = -1$  which is an involution of type one, and this ends the proof. As  $n - 1$  is a prime, there exist integers  $s$  and  $t$  such that  $sk + t(n - 1) = 1$ , then  $sk = 1$  in  $\mathbb{Z}_{n-1}$ . By Proposition 1.8(iii), we can find mutually orthogonal projections  $q_1, q_2, \dots, q_s$ , with  $[q_i] = [p]$ ,  $i = 1, \dots, s$ . Let  $v_i = 1 - 2q_i$ ,  $i = 1, \dots, s$ . Then for every  $i$ ,  $v_i$  there is an involution of the type  $k$ , which belongs to  $\mathcal{N}$  as it is conjugate to  $v$ . Therefore

$$v_1 v_2 \dots v_s = 1 - 2(q_1 + q_2 + \dots + q_s)$$

is an involution in  $\mathcal{N}$ , and the type of  $v_1 v_2 \dots v_s$  is  $sk = 1 \in \mathbb{Z}_{n-1}$ . □

By imitating the same proof in the previous result, we can rewrite Lemma 2.5 as follows:

**Lemma 2.6.** *Let  $\mathcal{N}$  be a normal subgroup of  $\mathcal{U}(\mathcal{O}_n)$ . If  $\mathcal{N}$  contains an involution of type  $k$  such that  $k$  and  $n - 1$  are relatively primes, then  $\mathcal{N}$  contains an involution of type 1.*

Therefore, we have the following theorem.

**Theorem 2.1.** *A non-trivial involution  $u$  normally generates the group  $\mathcal{U}(\mathcal{O}_n)$  if either*

- (1)  $n - 1$  is a prime number, or
- (2) the type of  $u$  is relatively prime to  $n - 1$ .

*Proof.* If  $\mathcal{N}$  is a normal subgroup of  $\mathcal{U}(\mathcal{O}_n)$  that contains a non-trivial involution with hypothesis of either (1) or (2), then by either Lemma 2.5 or Lemma 2.6,  $\mathcal{N}$  contains an involution of type 1, therefore by Lemma 2.4,  $\mathcal{N}$  contains an involution of any given type, then by Lemma 2.1 it contains all involutions, hence by Leen's result  $\mathcal{N} = \mathcal{U}(\mathcal{O}_n)$ . □

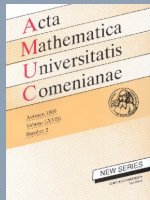


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### 3. THE $\mathcal{O}_\infty$ CASE

In this section, we discuss the case of the Cuntz algebra  $\mathcal{O}_\infty$ . We may ask, if a normal subgroup of  $\mathcal{U}(\mathcal{O}_\infty)$  contains a non-trivial involution  $u_0$ , then does it contain all the involutions of  $\mathcal{O}_\infty$ ? Hence by using Leen's result in Theorem 1.2,  $\mathcal{O}_\infty$  is normally generated by a non-trivial involution  $u_0$ . We give a positive answer to the question under some conditions on the non-trivial involution  $u_0$ .

Recall that the Cuntz algebra  $\mathcal{O}_\infty$  is the universal unital  $C^*$ -algebra generated by an infinite sequence of isometries  $s_1, s_2, s_3, \dots$  with mutually orthogonal projections  $p_j = s_j s_j^*$ . The involution  $1 - 2p_j$  is denoted by  $u_j$  ( $1 \leq j \leq \infty$ ).

Now let us recall the following main results concerning  $\mathcal{O}_\infty$ .

**Theorem 3.1.** [4, 3.11]

- (i)  $K_0(\mathcal{O}_\infty) \cong \mathbb{Z}$ .
- (ii)  $K_1(\mathcal{O}_\infty) \cong 0$ .

**Theorem 3.2.** [4, 3.12] *In  $\mathcal{O}_\infty$ , every projection is equivalent to a projection either of the form  $\sum_{i=1}^k s_i s_i^*$  ( $1 \leq k < \infty$ ) or  $1 - \sum_{i=1}^k s_i s_i^*$  ( $1 \leq k < \infty$ ).*

In  $\mathcal{O}_\infty$ , the type of an involution  $v$  is  $n[1]$ , for some  $n \in \mathbb{Z}$ , and we write that  $v$  has the type  $n \in \mathbb{Z}$ . Recall that Lemma 2.1 is also valid for  $\mathcal{O}_\infty$ .

Now we start by proving the following lemma, which is similar to Lemma 2.4 in the case of  $\mathcal{O}_n$ , where  $n$  is a finite number.

**Lemma 3.3.** *If  $\mathcal{N}$  is a normal subgroup of  $\mathcal{U}(\mathcal{O}_\infty)$ , which contains an involution of the type 1, then  $\mathcal{N}$  contains an involution of any given type.*

*Proof.* As  $\mathcal{N}$  contains an involution of type 1, and  $\mathcal{N}$  is a normal subgroup of  $\mathcal{U}(\mathcal{O}_\infty)$ , we have that  $\mathcal{N}$  contains all the involutions  $u_i$   $i = 1, 2, \dots$ . Then  $u_1 u_2$  is an involution in  $\mathcal{N}$  of type 2

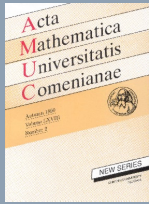


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indeed, if  $k \in \mathbb{Z}^+$ , then  $u_1 u_2 \dots u_k = 1 - 2(p_1 + p_2 + \dots + p_k)$  is an involution in  $\mathcal{N}$  of type  $k$ . Also,  $\mathcal{N}$  contains an involution of type 0, as  $1 \in \mathcal{N}$ .

Now it is enough to prove that  $\mathcal{N}$  contains an involution of any negative type. Recall that if  $p$  is a projection of  $\mathcal{O}_\infty$ , then by Theorem 3.2, either  $p$  is equivalent to  $\sum_{i=1}^k s_i s_i^*$ , hence  $[p] = k[1]$  or  $p$  is equivalent to  $1 - \sum_{i=1}^k s_i s_i^*$ , hence  $[p] = (1 - k)[1]$ , for some  $k \in \mathbb{Z}^+$ . As  $\mathcal{N}$  contains involutions of type 1, then the involution  $-1$  belongs to  $\mathcal{N}$ . Hence for each  $k \in \mathbb{Z}^+$ ,  $-u_1 u_2 \dots u_k \in \mathcal{N}$ , and

$$\begin{aligned} -u_1 u_2 \dots u_k &= -(1 - 2(p_1 + p_2 + \dots + p_k)) \\ &= -1 + 2(p_1 + p_2 + \dots + p_k) \\ &= 1 - 2(1 - (p_1 + p_2 + \dots + p_k)), \end{aligned}$$

therefore,  $-u_1 u_2 \dots u_k$  is an involution of type  $1 - k$  and the lemma has been checked. □

Therefore, we have the following main result

**Theorem 3.4.** *Any involution of type 1 normally generates the group  $\mathcal{U}(\mathcal{O}_\infty)$ .*

*Proof.* Suppose that  $\mathcal{N}$  is a normal subgroup of  $\mathcal{U}(\mathcal{O}_\infty)$  that contains an involution of the type 1. By using Lemma 3.3, we have that  $\mathcal{N}$  contains an involution of any given type, therefore by Lemma 2.1,  $\mathcal{N}$  contains all the involutions, hence by Leen's result in Theorem 1.2,  $\mathcal{N} = \mathcal{U}(\mathcal{O}_\infty)$ . □

Let us now prove our main result.

**Theorem 3.5.** *Any involution of odd type normally generates the group  $\mathcal{U}(\mathcal{O}_\infty)$ .*

*Proof.* Case 1: Suppose that  $\mathcal{N}$  contains an involution of type  $2k + 1$ , for some positive integer  $k$ . By normality of  $\mathcal{N}$ , we may assume that  $v = 1 - 2 \sum_{i=1}^{2k+1} p_i \in \mathcal{N}$ , also  $u = 1 - 2 \sum_{i=2}^{2k+2} p_i \in \mathcal{N}$ .



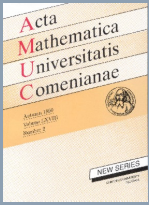
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Therefore, we have that

$$vu = (1 - 2 \sum_{i=1}^{2k+1} p_i)(1 - 2 \sum_{i=2}^{2k+2} p_i) = 1 - 2(p_1 + p_{2k+2}),$$

which is an involution in  $\mathcal{N}$  of type 2, hence  $\mathcal{N}$  contains all involutions of the type 2. Then

$$(1 - 2(p_1 + p_2))(1 - 2(p_3 + p_4)) \dots (1 - 2(p_{2k-1} + p_{2k})) = 1 - 2 \sum_{i=1}^{2k} p_i \in \mathcal{N}.$$

Therefore  $\mathcal{N}$  contains the involution

$$(1 - 2 \sum_{i=1}^{2k+1} p_i)(1 - 2 \sum_{i=1}^{2k} p_i) = 1 - 2p_{2k+1},$$

which is of the type 1, hence by Theorem 3.4, we have the desired.

Case 2: Suppose that  $\mathcal{N}$  contains an involution  $v$  of the type  $-k$ , where  $k \in \mathbb{Z}^+$ , which is odd. Then by normality of  $\mathcal{N}$  and Lemma 2.1, the involution  $w_1 = 1 - 2(1 - (p_1 + p_2 + \dots + p_{k+1}))$  belongs to  $\mathcal{N}$ , as its type is  $-k$ . In fact,  $w_1 = -u_1 u_2 \dots u_k u_{k+1}$ . Similarly, the involution  $w_2 = 1 - 2(1 - (p_2 + p_3 + \dots + p_{k+2}))$  belongs to  $\mathcal{N}$  and  $w_2 = -u_2 u_3 \dots u_{k+2}$ . Therefore, the involution  $w_1 w_2 = u_1 u_{k+2} \in \mathcal{N}$ , hence  $\mathcal{N}$  contains all involutions of type 2, by using Lemma 2.1. As  $k+1$  is an even integer, we get  $w_3 = (u_1 u_2)(u_3 u_4) \dots (u_k u_{k+1}) \in \mathcal{N}$ . Therefore we have that  $w_1 w_3 = -1 \in \mathcal{N}$ , which is an involution of type 1, hence by Theorem 3.4, the proof is completed.  $\square$

Finally, we conclude by noting that similar arguments show that a normal subgroup of  $\mathcal{U}(\mathcal{O}_n)$  which contains a non-trivial involution (of any type) necessarily contains all the involutions of even type.

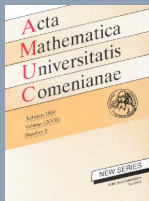


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