

DUNFORD-PETTIS SETS IN BANACH LATTICES

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ABSTRACT. We study the class of Dunford-Pettis sets in Banach lattices. In particular, we establish some sufficient conditions for which a Dunford-Pettis set is relatively weakly compact (resp. relatively compact).

1. INTRODUCTION AND NOTATION

Let us recall from [2] that a norm bounded subset A of a Banach space X is said to be a *Dunford-Pettis set* whenever every weakly compact operator from X to an arbitrary Banach space Y carries A to a norm relatively compact set of Y . This is equivalent to saying that A is a Dunford-Pettis set if and only if every weakly null sequence (f_n) of X' converges uniformly to zero on the set A , that is, $\sup_{x \in A} |f_n(x)| \rightarrow 0$ (see [7, Theorem 1]).

It is well known that the class of Dunford-Pettis sets contains strictly that of relatively compact sets, that is, every relatively compact set is a Dunford-Pettis set. But a Dunford-Pettis set is not necessarily relatively compact. In fact, the closed unit ball B_{c_0} is a Dunford-Pettis set in c_0 (because $(c_0)' = \ell^1$ has the Schur property), but it is not relatively compact. However, if X is a reflexive Banach space, the class of Dunford-Pettis sets and that of relatively compact sets in X coincide. Also, we will prove that if E is a discrete KB-space, these two classes coincide (Corollary 3.10).

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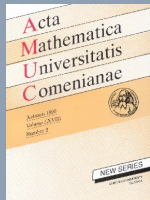


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On the other hand, we note that a Dunford-Pettis set is not necessarily relatively weakly compact. In fact, the closed unit ball B_{c_0} is a Dunford-Pettis set in c_0 , but it is not relatively weakly compact. And conversely a relatively weakly compact set is not necessarily Dunford-Pettis. In fact, the closed unit ball B_{ℓ^2} is a relatively weakly compact set in ℓ^2 , but it is not a Dunford-Pettis set in ℓ^2 .

However, we will establish that if E is a dual KB-space, then each Dunford-Pettis set of E is relatively weakly compact (see Corollary 3.4). And conversely, if X is a Banach space with the Dunford-Pettis property, then each relatively weakly compact subset of X is a Dunford-Pettis set (see Proposition 2.3).

The aim of this paper is to study the class of Dunford-Pettis sets in Banach lattices. Also, we give some consequences. As an example we will give some equivalent conditions for $T(A)$ to be a Dunford-Pettis set where A is a norm bounded solid subset of E and T is an operator from a Banach lattice E into a Banach space X (see Theorem 2.12).

To do this, we need to introduce a new class of operators, that we call order Dunford-Pettis operators. An operator T from a Banach lattice E into a Banach space X is called order Dunford-Pettis if it carries each order bounded subset of E onto a Dunford-Pettis set of X . For example, the identity operator of the Banach lattice c_0 is order Dunford-Pettis.

On the other hand, there exist operators which are not order Dunford-Pettis. In fact, the natural embedding $J: L^\infty[0, 1] \rightarrow L^2[0, 1]$ fails to be order Dunford-Pettis (if not, that is, if $J: L^\infty[0, 1] \rightarrow L^2[0, 1]$ is an order Dunford-Pettis operator, it follows from Theorem 2.7 that $i_{L^2[0,1]} \circ J = J$ is an AM-compact operator, but J fails to be AM-compact (see [8, Example on p. 222])).

Let us recall from [8] that an operator T from a Banach lattice E into a Banach space X is said to be AM-compact if it carries each order bounded subset of E onto a relatively compact set of X .

To state our results, we need to fix some notation and recall some definitions. A Banach lattice is a Banach space $(E, \|\cdot\|)$ such that E is a vector lattice and its norm satisfies the following

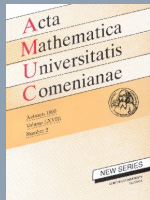


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property: for each $x, y \in E$ such that $|x| \leq |y|$, we have $\|x\| \leq \|y\|$. Note that if E is a Banach lattice, its topological dual E' , endowed with the dual norm and the dual order, is also a Banach lattice. A norm $\|\cdot\|$ of a Banach lattice E is order continuous if for each generalized sequence (x_α) such that $x_\alpha \downarrow 0$ in E , (x_α) converges to 0 for the norm $\|\cdot\|$, where the notation $x_\alpha \downarrow 0$ means that (x_α) is decreasing, its infimum exists and $\inf(x_\alpha) = 0$.

An operator $T: E \rightarrow F$ between two Banach lattices is a bounded linear mapping. It is positive if $T(x) \geq 0$ in F whenever $x \geq 0$ in E . If $T: E \rightarrow F$ is a positive operator between two Banach lattices, then its adjoint $T': F' \rightarrow E'$, defined by $T'(f)(x) = f(T(x))$ for each $f \in F'$ and for each $x \in E$, is also positive. We refer the reader to [2] for unexplained terminologies on Banach lattice theory and positive operators.

2. MAIN RESULTS

The following result gives some characterizations of Dunford-Pettis sets in a Banach space.

Proposition 2.1 ([7]). *Let X be a Banach space and let A be a norm bounded set in X . The following statements are equivalent:*

1. A is Dunford-Pettis set.
2. For each sequence (x_n) in A , $f_n(x_n) \rightarrow 0$ for every weakly null sequence (f_n) of X' .

Proposition 2.2. *Let X be a Banach space and let (x_n) be a norm bounded sequence in X . The following statements are equivalent:*

1. The subset $\{x_n, n \in \mathbb{N}\}$ is a Dunford-Pettis set.
2. $f_k(y_k) \rightarrow 0$ for each sequence (y_k) of $\{x_n, n \in \mathbb{N}\}$ and for every weakly null sequence (f_k) of X' .
3. $f_n(x_n) \rightarrow 0$ for every weakly null sequence (f_n) of X' .

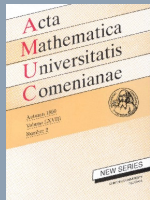


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A Banach space X has the Dunford-Pettis property if every continuous weakly compact operator T from X into another Banach space Y transforms weakly compact sets in X into norm-compact sets in Y . This is equivalent to the saying that for any weakly convergent sequences (x_n) of X and (f_n) of X' , the sequence $(f_n(x_n))$ converges.

Proposition 2.3 ([6]). *Let X be a Banach space. Then the following statements are equivalent:*

1. X has the Dunford-Pettis property.
2. For every weakly null sequence (x_n) in X , the subset $\{x_n, n \in \mathbb{N}\}$ is a Dunford-Pettis set.
3. Every relatively weakly compact subset of X is a Dunford-Pettis set.

Remark 1. If the Banach space X does not have the Dunford-Pettis property, then there exists a weakly null sequence (x_n) in X such that $\{x_n, n \in \mathbb{N}\}$ is not a Dunford-Pettis set.

Let us recall that a Banach lattice E has the weak Dunford-Pettis property if every weakly compact operator T defined on E (and taking their values in a Banach space X) is almost Dunford-Pettis, that is, the sequence $(\|T(x_n)\|)$ converges to 0 in X for every weakly null sequence (x_n) consisting of pairwise disjoint elements in E . This is equivalent to the saying that for any weakly null sequence (x_n) consisting of pairwise disjoint elements in E and for any weakly null sequence (f_n) of X' , $f_n(x_n) \rightarrow 0$.

Proposition 2.4. *Let E be a Banach lattice. Then the following statements are equivalent:*

1. X has the weak Dunford-Pettis property.
2. For every disjoint weakly null sequence (x_n) in E^+ , the subset $\{x_n, n \in \mathbb{N}\}$ is a Dunford-Pettis set.

Remark 2. If the Banach lattice E does not have the weak Dunford-Pettis property, then there exists a disjoint weakly null sequence (x_n) in E^+ such that $\{x_n, n \in \mathbb{N}\}$ is not a Dunford-Pettis set.

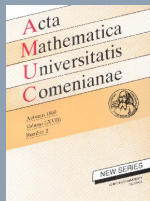


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Let us recall that an operator T from a Banach lattice E into a Banach space X is said to be order weakly compact if for each $x \in E^+$, the set $T([0, x])$ is relatively weakly compact in X .

The following result gives some examples of Dunford-Pettis sets in a Banach lattice.

Theorem 2.5. *Let E be a Banach lattice. Then for every order bounded disjoint sequence (x_n) in E , the subset $\{x_n, n \in \mathbb{N}\}$ is a Dunford-Pettis set.*

Proof. Let (x_n) be an order bounded disjoint sequence in E . To prove that $\{x_n, n \in \mathbb{N}\}$ is a Dunford-Pettis set it suffices to show that $f_n(x_n) \rightarrow 0$ for every weakly null sequence (f_n) of X' (see Proposition 2.2).

For that, let (f_n) be a weakly null sequence in E' . Consider the operator $S: E \rightarrow c_0$ defined by $S(x) = (f_n(x))_{n=0}^\infty$ for each $x \in E$. Then S is weakly compact ([2, Theorem 5.26]), and so S is order weakly compact. Hence by [2, Theorem 5.57] $\|S(z_i)\|_\infty = \|(f_n(z_i))_{n=0}^\infty\|_\infty \rightarrow 0$ for every order bounded disjoint sequence (z_i) in E . Finally, $|f_n(x_n)| \leq \|(f_i(x_n))_{i=0}^\infty\|_\infty \rightarrow 0$, so the proof is finished. \square

Remark 3. In ℓ^∞ , the closed unit ball $B_{\ell^\infty} = [-e, e]$ is not a Dunford-Pettis set. Hence there exists a sequence (x_n) in $[-e, e]$ such that (x_n) is not Dunford-Pettis (Proposition 2.1).

Let E be a Banach lattice and E' its topological dual. The absolute weak topology $|\sigma|(E, E')$ is the locally convex solid topology on E generated by the family of lattice seminorms $\{P_f : f \in E'\}$ where $P_f(x) = |f|(|x|)$ for each $x \in E$. For more information about locally convex solid topologies, we refer the reader to the book of Aliprantis and Burkinshaw [1].

Other examples of Dunford-Pettis sets in a Banach lattice, are given by the following Theorem.

Theorem 2.6. *Let E be a Banach lattice and let A be an order bounded set of E . If A is $|\sigma|(E, E')$ -totally bounded, then A is a Dunford-Pettis set.*

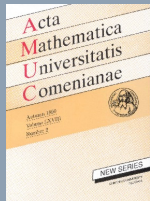


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Proof. Let (f_n) be a weakly null sequence in E' , let $x \in E^+$ such that $|y| \leq x$ for every $y \in A$. Fix $\varepsilon > 0$. By [2, Theorem 4.37] there exists $f \in (E')^+$ such that $(|f_n| - f)^+(x) < \frac{\varepsilon}{4}$ for each n .

Since A is $|\sigma|(E, E')$ -totally bounded, there exists a finite set $\{x_1, \dots, x_k\} \subset A$ such that for each $z \in A$, we have $f(|z - x_i|) < \frac{\varepsilon}{4}$ for at least one $1 \leq i \leq k$. Since $f_n \rightarrow 0$ weakly, there exists N with $|f_n(x_i)| < \frac{\varepsilon}{4}$ for each $i = 1, \dots, k$ and all $n \geq N$.

Now, let $z \in A$. Choose $1 \leq i \leq k$ with $f(|z - x_i|) < \frac{\varepsilon}{4}$ and note that $|z - x_i| \leq 2x$ holds. In particular, for $n \geq N$, we have

$$\begin{aligned} |f_n(z)| &\leq |f_n(z - x_i)| + |f_n(x_i)| \\ &\leq |f_n|(|z - x_i|) + \frac{\varepsilon}{4} \\ &\leq (|f_n| - f)^+(|z - x_i|) + f(|z - x_i|) + \frac{\varepsilon}{4} \\ &\leq 2(|f_n| - f)^+(x) + \frac{\varepsilon}{4} + \frac{\varepsilon}{4} \\ &\leq \varepsilon. \end{aligned}$$

This implies $\sup_{z \in A} |f_n(z)| \rightarrow 0$, and then A is a Dunford-Pettis set. \square

The next result characterizes the class of order Dunford-Pettis operators.

Theorem 2.7. *For an operator T from a Banach lattice E into a Banach space X , the following statements are equivalent:*

1. $T: E \rightarrow X$ is an order Dunford-Pettis operator.
2. If S is a weakly compact operator from X into an arbitrary Banach space Z , then $S \circ T$ is an AM-compact operator.
3. For every weakly null sequence (f_n) of X' , $|T'(f_n)| \rightarrow 0$ for the topology $\sigma(E', E)$.

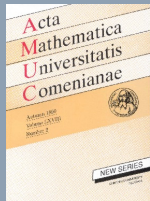


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Proof. (1) \Rightarrow (2) Let S be a weakly compact operator from X into an arbitrary Banach space Z . It follows from (1) that for each $x \in E^+$, $T([-x, x])$ is a Dunford-Pettis set, and hence $S(T([-x, x]))$ is a norm relatively compact subset of Z . This proves that $S \circ T$ is AM-compact.

(2) \Rightarrow (3) Let (f_n) be a weakly null sequence of X' . Consider the operator $S: X \rightarrow c_0$ defined by

$$S(x) = (f_n(x))_{n=0}^{\infty} \quad \text{for each } x \in X.$$

Then S is weakly compact ([2, Theorem 5.26]). But according to our hypothesis, $S(T([-x, x]))$ is a norm relatively compact subset of c_0 for each $x \in E^+$. From this it follows that $|T'(f_n)|(x) = \sup_{y \in [-x, x]} |T'(f_n)(y)| = \sup_{z \in T([-x, x])} |f_n(z)| \rightarrow 0$ for each $x \in E^+$ (see [2, Exercise 14 in Section 3.2]).

(3) \Rightarrow (1) For each $x \in E^+$, $\sup_{y \in T([-x, x])} |f_n(y)| = |T'(f_n)|(x) \rightarrow 0$ for every weakly null sequence (f_n) of X' . This shows that $T([-x, x])$ is a Dunford-Pettis set for each $x \in E^+$. \square

As a consequence of Theorem 2.6 and Theorem 2.7, we obtain the following corollaries

Corollary 2.8. *Let $T: E \rightarrow F$ be a regular operator between two Banach lattices such that $T([-x, x])$ is $|\sigma|(F, F')$ -totally bounded for each $x \in E^+$. If $f_n \rightarrow 0$ for $\sigma(F', F'')$, then $|T'(f_n)| \rightarrow 0$ for $\sigma(E', E)$.*

Proof. By Theorem 2.6, the subset $T([-x, x])$ is a Dunford-Pettis set for each $x \in E^+$ and the conclusion follows from Theorem 2.7. \square

We note that each AM-compact operator from a Banach lattice E into a Banach space F is order Dunford-Pettis. However an order Dunford-Pettis operator is not necessarily AM-compact. In fact, the identity operator of the Banach lattice $L^1[0, 1]$ is order Dunford-Pettis but it is not AM-compact.

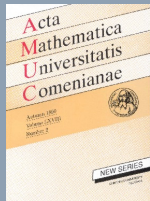


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Corollary 2.9. *Let E and F be two Banach lattices such that F is reflexive. Then the class of order Dunford-Pettis operators from E into F coincide with that of AM-compact operators from E into F .*

Proof. It suffices to show that if $T: E \rightarrow F$ is an order Dunford-Pettis operator, then T is AM-compact. In fact, since F is reflexive, its identity operator $\text{Id}_F: F \rightarrow F$ is weakly compact. Hence Theorem 2.7 implies $\text{Id}_F \circ T = T$ is an AM-compact operator. \square

Corollary 2.10. *Let E be a Banach lattice. Then the following statements are equivalent:*

1. *The identity operator $\text{Id}_E: E \rightarrow E$ is order Dunford-Pettis.*
2. *For each $x \in E^+$, $[-x, x]$ is a Dunford-Pettis set.*
3. *For every weakly null sequence (f_n) of E' , we have $|f_n| \rightarrow 0$ for $\sigma(E', E)$.*
4. *Every weakly compact operator from E into an arbitrary Banach space is AM-compact.*

Lemma 2.11. *Let E be a Banach lattice, let A be a norm bounded subset of E^+ , $(x_n) \subset A$, $(f_n) \subset (E')^+$ and $\varepsilon > 0$. If $f_n(x) \rightarrow 0$ for every $x \in A$, then there exists a subsequence (x_{n_k}, f_{n_k}) of (x_n, f_n) such that*

$$f_{n_k} \left(\sum_{j=1}^{k-1} x_{n_j} \right) < \frac{\varepsilon}{2^{2k+2}} \quad \text{for } k \geq 2.$$

Proof. Put $x_{n_1} = x_1$ and $f_{n_1} = f_1$. Since $f_n(x_{n_1}) = f_n(x_1) \rightarrow 0$, there exists $n_2 > n_1 = 1$ such that $f_{n_2}(x_{n_1}) < \frac{\varepsilon}{2^{4+2}}$. Now, assume constructed $(x_{n_k})_{k=1}^p, (f_{n_k})_{k=1}^p$ such that $f_{n_k}(\sum_{j=1}^{k-1} x_{n_j}) < \frac{\varepsilon}{2^{2k+2}}$ for all $k \in \{2, \dots, p\}$. As $f_n(\sum_{k=1}^p x_{n_k}) = \sum_{k=1}^p f_n(x_{n_k}) \rightarrow 0$, there exists $n_{p+1} > n_p$ such that

$$f_{n_{p+1}} \left(\sum_{k=1}^p x_{n_k} \right) < \frac{\varepsilon}{2^{2(p+1)+2}}.$$

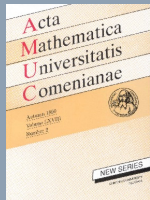


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This completes the proof. □

The next main result gives some equivalent conditions for $T(A)$ to be a Dunford-Pettis set where A is a norm bounded solid subset of E and T is an operator from a Banach lattice E into a Banach space X .

Theorem 2.12. *Let T be an operator from a Banach lattice E into a Banach space X and let A be a norm bounded solid subset of E . Then the following statements are equivalent:*

1. $T(A)$ is a Dunford-Pettis set.
2. The subsets $T([-x, x])$ and $\{T(x_n), n \in \mathbb{N}\}$ are Dunford-Pettis for each $x \in A^+ = A \cap E^+$ and for each disjoint sequence (x_n) in A^+ .
3. For every weakly null sequence (f_n) of E' , we have $|T'(f_n)|(x) \rightarrow 0$ for all $x \in A^+$ and $f_n(T(x_n)) \rightarrow 0$ for each disjoint sequence (x_n) in A^+ .

Proof. 1. \Rightarrow 2. Obvious.

2. \Rightarrow 3. Obvious.

3. \Rightarrow 1. To prove that $T(A)$ is a Dunford-Pettis set, it is sufficient to show that $\sup_{x \in A} |T'(f_n)(x)| \rightarrow 0$ for every weakly null sequence (f_n) of X' . Assuming this to be false, let (f_n) be such a sequence satisfying $\sup_{x \in A} |T'(f_n)(x)| > \varepsilon > 0$ for some $\varepsilon > 0$ and all n . For every n there exists y_n in A^+ such that $|T'(f_n)|(y_n) > \varepsilon$. Since $T([-y, y])$ is a Dunford-Pettis set for each $y \in A^+$, then $|T'(f_n)|(y) \rightarrow 0$ for every $y \in A^+$, and hence by Lemma 2.11, we may assume (by passing to a subsequence, if necessary) that

$$|T'(f_n)|\left(\sum_{i=1}^{n-1} y_i\right) < \frac{\varepsilon}{2^{2n+2}} \quad \text{for } n \geq 2.$$

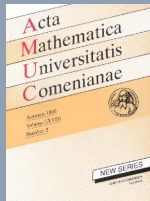


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For $n \geq 2$, let

$$x_n = \left(y_n - 4^n \sum_{i=1}^{n-1} y_i - 2^{-n} \sum_{i=1}^{\infty} 2^{-i} y_i \right)^+.$$

Note that $\sum_{i=1}^{\infty} 2^{-i} y_i$ exists since E is a Banach space. Now, the disjointness of (x_n) follows from

$$\begin{aligned} x_n &\leq (y_n - 4^n y_m)^+ \quad \text{and} \\ x_m &\leq (y_m - 4^{-n} y_n)^+ = 4^{-n} (4^n y_m - y_n)^+ = 4^{-n} (y_n - 4^n y_m)^- \quad \text{for } m < n. \end{aligned}$$

Also, since $0 \leq x_n \leq y_n$ for every n and (y_n) in A^+ , then $(x_n) \subset A^+$.

On the other hand, the inequality

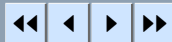
$$\begin{aligned} |T'(f_n)|(x_n) &\geq |T'(f_n)| \left(y_n - 4^n \sum_{i=1}^{n-1} y_i - 2^{-n} \sum_{i=1}^{\infty} 2^{-i} y_i \right) \\ &\geq \varepsilon - \frac{\varepsilon}{4} - 2^{-n} |f_n \circ T| \left(\sum_{i=1}^{\infty} 2^{-i} y_i \right) \end{aligned}$$

shows that $|T'(f_n)|(x_n) > \frac{\varepsilon}{2}$ for n sufficiently large (because $2^{-n} |T'(f_n)| \cdot (\sum_{i=1}^{\infty} 2^{-i} y_i) \rightarrow 0$).

In view of $|T'(f_n)|(x_n) = \sup\{|f_n(T(z))| : |z| \leq x_n\}$, for each n sufficiently large there exists some $|z_n| \leq x_n$ with $|f_n(T(z_n))| > \frac{\varepsilon}{2}$. Since (z_n^+) and (z_n^-) are both norm bounded disjoint sequences in A^+ , it follows from our hypothesis that

$$\begin{aligned} \frac{\varepsilon}{2} &< |f_n(T(z_n))| \\ &\leq |f_n(T(z_n^+))| + |f_n(T(z_n^-))| \rightarrow 0 \end{aligned}$$

which is impossible. This proves that $T(A)$ is a Dunford-Pettis set. □

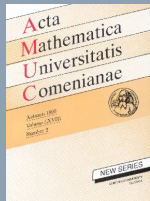


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A relationship between a solid Dunford-Pettis set and its disjoint sequences is included in the next result.

Corollary 2.13. *Let E be a Banach lattice and let A be a norm bounded solid subset of E . The following statements are equivalent*

1. A is a Dunford-Pettis set.
2. The subsets $[-x, x]$ and $\{x_n, n \in \mathbb{N}\}$ are Dunford-Pettis, for each $x \in A^+$ and for each disjoint sequence (x_n) in A^+ .
3. For every weakly null sequence (f_n) of E' , we have $|f_n|(x) \rightarrow 0$ for all $x \in A^+$ and $f_n(x_n) \rightarrow 0$ for each disjoint sequence (x_n) in A^+ .

Remark 4. Let T be an operator from a Banach space X into a Banach space Y . By the equality $\sup_{y \in T(B_X)} |f_n(y)| = \|T'(f_n)\|_{X'}$ for every weakly null sequence (f_n) in Y' , it follows easily that $T(B_X)$ is a Dunford-Pettis set in Y if and only if T' is a Dunford-Pettis operator, where B_X is the closed unit ball of X .

The next result characterizes the adjoint of Dunford-Pettis operators from a Banach lattice into a Banach space.

Corollary 2.14. *For an operator T from a Banach lattice E into a Banach space X , the following statements are equivalent:*

1. The adjoint $T': X' \rightarrow E'$ is Dunford-Pettis.
2. $T(B_E)$ is a Dunford-Pettis set.
3. $T: E \rightarrow X$ is order Dunford-Pettis and $\{T(x_n) : n \in \mathbb{N}\}$ is a Dunford-Pettis set for each disjoint sequence (x_n) in B_E^+ .
4. $|T'(f_n)| \rightarrow 0$ for $\sigma(E', E)$ and $f_n(T(x_n)) \rightarrow 0$ for every weakly null sequence (f_n) of E' and for each disjoint sequence (x_n) in B_E^+ .

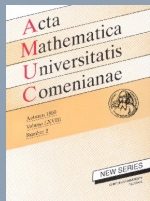


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Proof. 1. \Leftrightarrow 2. See Remark 4.

2. \Leftrightarrow 3. \Leftrightarrow 4. See Theorem 2.12. □

A Banach lattice E has the Schur property if each weakly null sequence in E converges to zero in norm.

Corollary 2.15. *Let E be a Banach lattice. Then the following statements are equivalent:*

1. E' has the Schur property.
2. B_E is a Dunford-Pettis set.
3. $|f_n| \rightarrow 0$ for $\sigma(E', E)$ and $f_n(x_n) \rightarrow 0$ for every weakly null sequence (f_n) of E' and for each disjoint sequence (x_n) in B_E^+ .

3. DUNFORD-PETTIS SETS WHICH ARE RELATIVELY WEAKLY COMPACT (RESP. RELATIVELY COMPACT)

Let us recall from [5] that a norm bounded subset K of the topological dual X' and of a Banach space X is called an (L) set in X' whenever every weakly null sequence (x_n) of X converges uniformly to zero on the set K , that is, $\sup_{f \in K} |f(x_n)| \rightarrow 0$.

As examples, the closed unit ball B_{ℓ^∞} is an (L) set in ℓ^∞ , but the closed unit ball B_{ℓ^1} is not an (L) set in ℓ^1 . On the other hand, every Dunford-Pettis set in X' is an (L) set, but an (L) set is not necessarily Dunford-Pettis. In fact in ℓ^∞ , the closed unit ball B_{ℓ^∞} is an (L) set, but it is not Dunford-Pettis.

Let us recall from [8] that a non-empty bounded subset A of a Banach lattice E is said to be L-weakly compact if $\|x_n\| \rightarrow 0$ for every disjoint sequence (x_n) contained in the solid hull of A . Every L-weakly compact set is relatively weakly compact ([8, Proposition 3.6.5]). In ℓ^∞ the closed unit ball $B_{\ell^\infty} = [-e, e]$ is an (L) set, but it is not relatively weakly compact, and then it is not L-weakly compact.

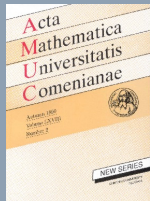


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In the following we use this notion to give a characterization of the order continuity of the dual norm.

Theorem 3.1. *Let E be a Banach lattice. The following statements are equivalent:*

1. *The norm of E' is order continuous.*
2. *Any (L) set in E' is L-weakly compact.*
3. *Any (L) set in E' is relatively weakly compact.*
4. *Each Dunford-Pettis operator from E to any Banach space X is weakly compact.*

Proof. 1. \Rightarrow 2. Let K be an (L) set in E' and for each $x \in E$, let

$$\rho_K(x) = \sup\{|x'|(|x|) : x' \in K\} = \sup\{x'(z) : x' \in K \text{ and } |z| \leq |x|\}.$$

Since K is norm bounded, $\rho_K(x) \in \mathbb{R}$ holds for each $x \in E$, and clearly ρ_K is a lattice seminorm on E .

On the other hand, if (x_n) is a disjoint sequence of B_E where B_E is the closed unit ball of E , then $\rho_K(x_n) \rightarrow 0$ holds. To see this, let $\varepsilon > 0$. For each n choose $x'_n \in K$ and $|z_n| \leq |x_n|$ with $\rho_K(x_n) < \varepsilon + x'_n(z_n)$. Since the norm of E' is order continuous and as (z_n) is a disjoint sequence of B_E (because $|z_n| \leq |x_n|$ and (x_n) is disjoint), it follows from [8, Theorem 2.4.14] that $z_n \rightarrow 0$ weakly. Hence the definition of an (L) set in E' proves that $x'_n(z_n) \rightarrow 0$, and so $\limsup \rho_K(x_n) < \varepsilon$ holds for all $\varepsilon > 0$. Therefore, $\lim \rho_K(x_n) \rightarrow 0$. Finally, by [8, Proposition 3.6.3], we have K is L-weakly compact.

2. \Rightarrow 3. Follows from of [8, Proposition 3.6.5].

3. \Rightarrow 4. Let $T: E \rightarrow X$ be a Dunford-Pettis operator. Then $T'(B_{X'})$ is an (L) set in E' where $B_{X'}$ is the closed unit ball of X' . Hence, 3. proves that $T'(B_{X'})$ is relatively weakly compact, and then T' (and T) is weakly compact.

4. \Rightarrow 1. See [2, Theorem 5.102]. □

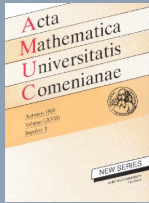


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A Dunford-Pettis set in E' is not necessarily relatively weakly compact. In fact, let $i: c_0 \rightarrow \ell^\infty$ be the canonical injection of c_0 into ℓ^∞ . Then $i(B_{c_0})$ is a Dunford-Pettis set in ℓ^∞ (B_{c_0} is a Dunford-Pettis set in c_0), but it is not relatively weakly compact ($i: c_0 \rightarrow \ell^\infty$ is not weakly compact).

Corollary 3.2. *Let E be a Banach lattice such that the norm of E' is order continuous. Then any Dunford-Pettis set in E' is relatively weakly compact.*

Proof. Let K be a Dunford-Pettis set in E' . By the definition of Dunford-Pettis set, K is an (L) set in E' . Theorem 3.1 concludes the proof. \square

A Banach lattice E is said to be a KB-space whenever every increasing norm bounded sequence of E^+ is norm convergent. As an example, each reflexive Banach lattice is a KB-space. It is clear that each KB-space has an order continuous norm, but a Banach lattice with an order continuous norm is not necessarily a KB-space. In fact, the Banach lattice c_0 has an order continuous norm, but it is not a KB-space. However, for each Banach lattice E , its topological dual E' is a KB-space if and only if its norm is order continuous.

Let us recall that a Banach lattice E is called a dual Banach lattice if $E = G'$ for some Banach lattice G . A Banach lattice E is called a dual KB-space if E is a dual Banach lattice and E is a KB-space.

As a consequence of Theorem 3.1, we obtain the following corollaries.

Corollary 3.3. *Let E be a dual Banach lattice. The following statements are equivalent:*

1. E is a KB-space.
2. Any (L) set in E is L -weakly compact.
3. Any (L) set in E is relatively weakly compact.

Corollary 3.4. *Let E be a dual KB-space. Then any Dunford-Pettis set in E is relatively weakly compact.*

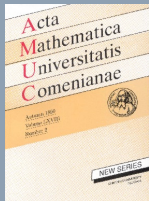


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Proof. Follows from Corollary 3.2. □

In [3] we introduced and used the class of Banach lattices which satisfy the AM-compactness property. A Banach lattice E is said to have the AM-compactness property if E satisfies the four equivalent assertions of Corollary 2.10. For example, the Banach lattice $L^2[0, 1]$ does not have the AM-compactness property, but l^1 has the AM-compactness property.

Theorem 3.5. *Let E be a Banach lattice with the AM-compactness property such that the norm of E' is order continuous. Then for each Banach space X every Dunford-Pettis operator $T: E \rightarrow X$ is compact.*

Proof. Let $T: E \rightarrow X$ be a Dunford-Pettis operator. Since the norm of E' is order continuous, it follows from [8, Theorem 3.7.10] that T is M-weakly compact (and then T is weakly compact). As E has the AM-compactness property, T is AM-compact. The rest of the proof follows from [8, Theorem 3.7.4]. □

Corollary 3.6. *Let E be a Banach lattice with the AM-compactness property such that the norm of E' is order continuous. Then any Dunford-Pettis set in E' is relatively compact (and then the class of Dunford-Pettis sets and that of relatively compact sets in E' coincide).*

Proof. By Theorem 3.5, any Dunford-Pettis operator from E to any Banach space X is compact. We conclude from [5, Theorem 1 and Corollary 1] that any Dunford-Pettis set in E' is relatively compact. □

Next, recall from [3] the following sufficient conditions guaranteeing that a Banach lattice has the AM-compactness property.

Theorem 3.7 ([3]). *Let E be a Banach lattice. Then E has the AM-compactness property if one of the following assertions is valid:*

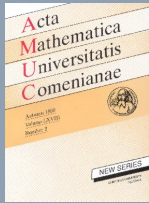


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1. The norm of E is order continuous and E has the Dunford-Pettis property.
2. The topological dual E' is discrete.
3. The lattice operations in E' are weakly sequentially continuous.
4. The lattice operations in E' are weak* sequentially continuous.

Let us recall from [8] that an operator $T: E \rightarrow X$ from a Banach lattice to a Banach space is said to be M-weakly compact if $\|T(x_n)\| \rightarrow 0$ for every norm bounded disjoint sequence (x_n) in E .

Let us, the lattice operations in E' are called weak* sequentially continuous if the sequence $(|f_n|)$ converges to 0 in the weak* topology $\sigma(E', E)$ whenever the sequence (f_n) converges to 0 in $\sigma(E', E)$.

A nonzero element x of a vector lattice E is discrete if the order ideal generated by x equals the subspace generated by x . The vector lattice E is discrete if it admits a complete disjoint system of discrete elements.

As a consequence of Theorem 3.5 and Theorem 3.7 we obtain a generalization and another proof of [4, Theorem 2.2].

Theorem 3.8. *Let E be a Banach lattice. Then each Dunford-Pettis operator from E to any Banach space X is compact if one of the following assertions is valid:*

1. The topological dual E' is discrete and its norm is order continuous.
2. The norm of E' is order continuous and the lattice operations in E' are weak* sequentially continuous.
3. The norms of E and of E' are order continuous.

Proof. 1. If E' is discrete, then it follows from Theorem 3.7 that the Banach lattice E has the AM-compactness property. Since the norm of E' is order continuous, the result follows from Theorem 3.5.

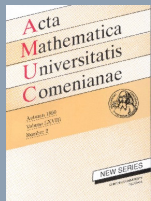


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2. If the lattice operations in E' are weak* sequentially continuous, then it follows from Theorem 3.7 that the Banach lattice E has the AM-compactness property. Since the norm of E' is order continuous, the result follows from Theorem 3.5.

3. is exactly [8, Theorem 3.7.11(3)]. □

Corollary 3.9. *Let E be a Banach lattice. Then any Dunford-Pettis set in E' is relatively compact if one of the following assertions is valid:*

1. *The topological dual E' is discrete and its norm is order continuous.*
2. *The norm of E' is order continuous and the lattice operations in E' are weak* sequentially continuous.*
3. *The norms of E and of E' are order continuous.*

Corollary 3.10. *Let E be a discrete KB-space. Then any Dunford-Pettis set in E is relatively compact (and then the class of Dunford-Pettis sets and that of relatively compact sets in E coincide).*

Proof. Since each discrete KB-space is a dual (see [8, Exercise 5.4.E2]), it is sufficient to use 1. of Corollary 3.9. □

Corollary 3.11. *For an operator T from a Banach space X into a discrete KB-space F , the following statements are equivalent:*

1. *$T: X \rightarrow F$ is compact.*
2. *The adjoint $T': F' \rightarrow X'$ is Dunford-Pettis.*

Proof. Since F is discrete KB-space, then $T: X \rightarrow F$ is compact if and only if $T(B_X)$ is relatively compact if and only if $T(B_X)$ is a Dunford-Pettis set in F (Theorem 3.10) if and only if T' is a Dunford-Pettis operator (Remark 4). □

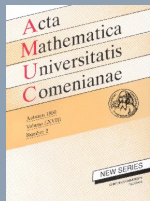


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